

Official Giveaway Rules

NO PURCHASE NECESSARY. A PURCHASE OR PAYMENT OF ANY KIND WILL NOT INCREASE YOUR CHANCES OF WINNING. ALL ENTRIES MUST BE RECEIVED BY 11:59 P.M. CST ON THE LAST DAY OF THE CORRESPONDING CALENDAR QUARTER, WHICH IS MARCH 31, JUNE 30, SEPTEMBER 30, DECEMBER 31. VOID WHERE PROHIBITED BY LAW.

- 1. Eligibility:** Participation is open only to individual legal residents of the State of Iowa who are at least 18 years of age as of the date of entry, have a Social Security number or a U.S. Taxpayer Identification Number and have an address that is not a P.O. Box (the "Entrant"). Each Entrant must designate an individual under the age of 18 with a Social Security number or U.S. Taxpayer Identification Number (the "Beneficiary"). There is a limit of one entry per person; provided that an Entrant may submit multiple entries if there are multiple Beneficiaries - one entry per Beneficiary. Void outside of the State of Iowa, and where prohibited or restricted by local law. Employees, officers and directors and their immediate families (parents, children, siblings, and spouse) and/or members of their household (whether or not related) of the Iowa Educational Savings Plan Trust (the "Sponsor"), State of Iowa Treasurer's Office, Ascensus Investments, Inc., The Vanguard Group Inc., and of any FINRA member are not eligible to participate in the Giveaway. Employees, officers, and directors, and any parent, subsidiary, affiliate or related company, of any vendor engaged in the development, production, execution, or distribution of the Giveaway are not eligible to participate, as determined by the Sponsor.
- 2. How to Enter:** The Entrant must complete the official online entry form available on the ISave 529 website (ISave529.com) or complete the official entry form available at the ISave 529 booth and deposit in the official entry box. All entries must be received within the applicable Quarterly Entry Period, as herein after defined. "Quarterly Entry Period" means entry period for a drawing beginning on the first day of each calendar quarter, which is January 1, April 1, July 1, October 1, and ending at 11:59 pm, Central Time on the last day of the corresponding calendar quarter which is March 31, June 30, September 30, December 31, (the "Deadline"). The Sponsor is not responsible for lost, late, or corrupted entries, whether due to technical or computer malfunctions or otherwise.
- 3. Random Giveaway/Odds:** One (1) entry (the "Winner") will be selected in a random drawing (the "Giveaway") from among all eligible entries received by the Sponsor by the Deadline. Odds of winning depend upon the number of eligible entries received.
- 4. Prize.** Subject to these rules, the Winner of each Quarterly Entry Period will receive one (1) five hundred twenty-nine U.S. dollars (\$529) ISave 529 account contribution to be deposited into an existing or newly opened ISave 529 account (the "Prize"). The account will be owned by and established in the name of the ISave 529 Winner as the Account Owner on the account (the "Account Owner") for the selected Beneficiary, and the Account Owner will be responsible for investing in accordance with the rules of ISave 529 and investment expenses and losses may result. Information with respect to ownership of an account can be found in the ISave 529 Program Description at www.isave529.com. The ISave 529 Winner and Beneficiary are subject to eligibility verification by the Sponsor, whose decisions are final and binding in all matters related to the Giveaway. An Entrant is not an ISave 529 Winner of the Prize unless and until the Entrant's eligibility has been verified and the Entrant has been notified that verification is complete. The Prize deposited into the account is non-transferable, although an ISave 529 account may be transferred in accordance with ISave 529 rules. The Sponsor is responsible only for delivery of the Prize to the ISave 529 Winner's ISave 529 account and is not responsible for Prize utility or otherwise. The Giveaway does not take into account the

ISave 529 Winner's personal circumstances or other factors that may be important in making investment decisions. Investment returns are not guaranteed and the ISave 529 Winner could lose money by investing in the ISave 529 account. The ISave 529 Winner should consult his or her own tax advisor about tax implications, if any, associated with the Prize and withdrawals from the ISave 529 account. The Prize will be considered income, and any and all taxes associated with the Prize are the responsibility of the ISave 529 Winner. All rules of ISave 529 shall apply to the account. The account must be established within six (6) months of the date the ISave 529 Winner receives notice of winning.

- 5. Notification:** After the Giveaway, the Sponsor will verify the eligibility of the ISave 529 Winner and the Beneficiary. If eligibility is verified, the ISave 529 Winner will be notified by telephone/email within two (2) weeks following the date of the Giveaway and will subsequently receive a confirmation letter/email from the Sponsor. Unless the ISave 529 Winner already has an ISave 529 account, the ISave 529 Winner will be required to complete documentation necessary to open an account, including an enrollment form with investment instructions. If the ISave 529 Winner already has an ISave 529 account, the ISave 529 Winner will need to provide his or her account number. The Prize will only be deposited once the required documentation is completed and an ISave 529 account is opened and such deposit will be made within thirty (30) days of receiving the required documentation and verification of eligibility. The Prize may be awarded to an alternate ISave 529 Winner (selected in a random drawing from among all remaining eligible Entrants) if (i) the ISave 529 Winner and/or Beneficiary is not eligible; (ii) three (3) good faith attempts to contact the ISave 529 Winner via phone and/or email over the course of fourteen (14) days have been unsuccessful; (iii) the Prize confirmation letter is returned as undeliverable; or (iv) the ISave 529 account documentation is not returned in good order within six (6) months after the Entrant receives notice of winning. Only one (1) Prize will be awarded.
- 6. Miscellaneous and Conditions of Participation:** This Giveaway is void where prohibited or restricted by law, and is subject to all applicable federal, state, and local laws and regulations. Taxes and fees, if any, are the sole responsibility of the ISave 529 Winner. By entering the Giveaway, Entrants fully and unconditionally agree to be bound by these rules and the decisions of the Sponsor, which will be final and binding on all matters relating to this Giveaway, and each Entrant warrants that (s)he is eligible to participate in this Giveaway. The Sponsor reserves the right to disqualify any Entrant if these Giveaway rules are not followed, and to change, alter, or amend these Giveaway rules or to alter, modify, suspend, or terminate the Giveaway, as necessary, in its sole discretion, to ensure the fair administration of the Giveaway or to comply with applicable law. The Giveaway is not a recommendation to invest in an ISave 529 account.
- 7. Release:** Each Entrant agrees, except where prohibited by law, to release and discharge, hold harmless and indemnify the Sponsor, its trustee, employees, agents and representatives, officers and directors and their immediate families, successors and assigns, and all others associated with the development and execution of this Giveaway, from any and all tax liability that may be imposed on or associated with receipt or use of the Prize, and from and against any and all claims, actions, proceedings, and liability for any damages, expenses, fees, injury or losses sustained in connection with the receipt, ownership, use or misuse of the Prize or while traveling to, preparing for, or participating in any Giveaway-related activity.
- 8. Publicity:** Except where prohibited by law, by entering the Giveaway and by winning, the ISave 529 Winner grants to the Sponsor the right to publicize the ISave 529 Winner's and the Beneficiary's names, photographs, images, likenesses, voices, statements, and biographical information in all manner and media, worldwide, in perpetuity, for advertising, trade, and promotion purposes without compensation and without opportunity for review.

- 9. Limitations of Liability:** The Sponsor, its trustee, employees, agents and representatives, officers and directors and their immediate families, successors and assigns, and all others associated with the development and execution of this Giveaway shall have no responsibility or liability for (i) any incorrect or inaccurate information, whether caused by the Entrants, printing errors or by any of the equipment or programming associated with or utilized in the Giveaway; (ii) technical failures of any kind, including, but not limited to, malfunctions, interruptions or disconnections in telephone lines or network hardware or software; (iii) unauthorized human intervention in any part of the entry process or the Giveaway; (iv) technical or human error which may occur in the administration of the Giveaway; or (v) failed, incomplete, garbled, jumbled, corrupted or delayed computer transmissions which may limit an individual's ability to enter the Giveaway, including any injury or damage to an Entrant's or any other person's computer relating to or resulting from entering the Giveaway or downloading any materials related to the Giveaway.
- 10. Entrants and Winner's Personal Information:** Information collected from the Entrants and Winner will be maintained by the Sponsor and its representatives in accordance with applicable federal and state consumer privacy laws, rules and regulations. Unless the Entrant opts out on the entry form, the Entrant may receive marketing information from ISave 529.
- 11. Copy of Rules/Name of Winner:** For a copy of these rules and/or the Winner's name, send your request and a self-addressed, stamped envelope to ISave 529, PO Box 219219, Kansas City, MO 64121-7322.
- 12. Sponsor:** The Sponsor's street address of its actual principal place of business is State Treasurer's Office, Capital Building, 1007 E. Grand Avenue, Des Moines, IA 50319.